

Legal & Regulatory

Legal

W/ R/ B Underwriting is the trading name of W. R. Berkley Syndicate Management Limited and W. R. Berkley UK Limited.

- 1. W. R. Berkley Syndicate Management Limited is a company registered in England and Wales under company registration number 07712472 and registered office at 14th Floor, 52 Lime Street, London, EC3M 7AF. W. R. Berkley Syndicate Management Limited is authorised by the Prudential Regulation Authority and are regulated by the Prudential Regulation Authority & the Financial Conduct Authority.*
- 2. W. R. Berkley Syndicate Management Limited writes EU direct business via Berkley European Underwriters AS (on behalf of Lloyds Insurance Company S.A.). Berkley European Underwriters AS has established a UK Branch that is authorised and regulated by the Financial Conduct Authority (FRN: 838611)*
- 3. W. R. Berkley UK Limited is a company registered in England and Wales under company registration number 09546454 with a registered office at 14th Floor, 52 Lime Street, London, EC3M 7AF. W. R. Berkley UK Limited is authorised and regulated by the Financial Conduct Authority.*

The ultimate holding company is *W. R. Berkley Corporation*, a company incorporated in the United States, with an address at 475 Steamboat Road, Greenwich, Connecticut 06830, USA. More information about W. R. Berkley Corporation member companies can be found here: <https://www.berkley.com/businesses>

Modern Slavery Statement

This statement has been published in accordance with the Modern Slavery Act 2015 ("The Act") and sets out the steps undertaken by W/R/B Underwriting to prevent modern slavery and human trafficking in our business and supply chain.

Supply chain

Our supply chain is comprised of mainly UK based third party suppliers of services such as IT software/hardware, HR, legal, claims handling, recruitment, cleaning, marketing materials, stationary and security.

Experts and bodies, such as the Global Slavery Index and the Corruption Perception Index do not consider the UK or financial services – the business sector in which we operate, to be high risk for slavery and human trafficking. We do not have intricate supply chains and all our suppliers are expected to comply with all local and national laws, regulations and rules.

We have zero tolerance to slavery and human trafficking. As part of our due diligence to identify, mitigate and monitor risk, we endeavour to:

- Undertake a risk assessment of supplier relationships

- Incorporate provisions in our supplier agreements
- Incorporate the requirements of The Act into our internal policies and procedures
- Ensure staff training and awareness

Tax Strategy

The attached tax strategy has been prepared in compliance with the requirements of Part 2 of Schedule 19 of Finance Act 2016 for the financial year ending 31 December 2023.

Diversity Statement

WRBSML is an equal opportunities employer and is committed to developing, maintaining and supporting a culture of equality, diversity and inclusion; and ensuring a working environment which is free from unfair and unlawful discrimination. WRBSML recognises a diverse workforce is a more effective workforce. People of different backgrounds, education, and ways of thinking allow for a more robust source of ideas, innovation, management styles and capabilities. The Equality, Diversity and Inclusion Policy provides further detail in its approach and methodology in promoting an inclusive and diverse culture.

The WRBSML Board aims to have a diverse composition that is made up of a pool of individuals with a balance of differing skills, backgrounds and experience to collectively manage the business.